

# Could Non-Linear Heart Rate Variability Analysis of Short RR Intervals Series Give Clinically Valuable Information in Heart Disease?

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## Abstract

New analytic methods based on nonlinear system theory have been developed to characterize the nonlinear features in HR dynamics. It is known from long time series (24h ECG recordings) that patients with chronic heart failure or stable coronary heart disease have altered fractal organization in heartbeat dynamics. During such long-time series, many confounding could limit the assessment of autonomic functions. The aim of this study was to test the hypothesis that non-linear indices obtained from short RR intervals series (256 points) can reveal abnormalities in HR behavior in cardiac disease. In 18 healthy subjects, 42 coronary artery disease and 32 chronic heart failure patients, heart rate variability was characterized during supine rest and active standing by spectral analysis, the short- and long-term fluctuations in the R-R interval, approximate and sample entropy and correlation dimension. These indexes are known to evaluate the sympatho-vagal interaction at the heart level as well as the overall complexity of the time series. During supine rest, a greater unpredictability in the R-R interval time series and a lower correlation dimension were observed in cardiac patients. During active standing, they have reduced sympathovagal interaction and complexity. Postural adaptations were blunted in cardiac patients, particularly in chronic heart failure. Our results confirmed the ability of non-linear heart rate variability analysis of short RR intervals series to characterize cardiac disease. They also confirmed that standardization of the conditions of ECG-recording is necessary.

**Keywords:** Heart rate variability; Complexity; Non-linear dynamics; Coronary heart disease; Heart failure

**Abbreviations:** ApEn-Approximate entropy; HRV-Heart rate variability; CAD-Coronary heart disease; CHF-Chronic heart failure; DFA-Detrended fluctuation analysis; HF, LF-Low and High frequency power; pNN50-Fraction of consecutive RR intervals that differ by more than 50 ms; PP-Pulse pressure; rMSSD-root Mean Square of Successive RR interval differences; SampEn-Sample entropy; SAP, DAP, MAP: Systolic, Diastolic, Mean arterial blood pressure

## Introduction

Morbidity and mortality due to chronic heart failure remain high, despite effective drug therapies, implantable cardioverter-defibrillator or resynchronization therapy. Therefore, and despite considerable efforts, risk stratification in patients with heart failure is of great clinical importance. A great number of different measures have been proposed for risk stratification [1] including analysis of heart rate variability (HRV). HRV has been largely used to study the influence of the autonomic drive on the heart in health and disease [2,3]. HRV has been conventionally analyzed with time- and frequency-domain methods, which allowed obtaining information on the sinus node response to parasympathetic and sympathetic activities. A variety of new techniques based on non-linear theories has been proposed to quantify HRV because they may provide useful prognostic information in various clinical settings and their reproducibility may be better than that of traditional indices [4]. Among them, short-term scaling exponent ( $\alpha_1$ ) of detrended fluctuation analysis (DFA) [5] and indices related to the complexity of HRV such as approximate (ApEn) [6] and sample (SampEn) [7] entropy have been studied. These indices provide useful prognostic information [4] and have been proposed to be sensitive to smaller modulations in HR behavior than linear variability measures [8]. Patients suffering of coronary heart disease (CAD) [9,10] or heart failure (HF) [11] have lower HRV than their sedentary counterparts. Patients with chronic HF or stable CAD also have altered fractal organization in heartbeat dynamics [5,12] ApEn, or SampEn have provided information on the vulnerability to atrial fibrillation [13]. These studies analyzed data from long-time series of RR intervals based on ambulatory 24-hours ECG recording. However, such recordings in daily life are not well controlled, nor are they comparable between subjects, and the corresponding analysis of HRV has limited value as an assessment of autonomic functions due to many confounding factors like posture, food intake, wake-sleep cycle, and other physical and mental activities [14].

Well controlled resting or dynamic conditions are used to investigate the physiological background of these measures [15-17], but not to characterize heart rate variability in heart disease. This may be due to the fact that during this type of situations, only a limited number of RR intervals can be obtained and the analysis is usually performed on roughly 5 to 10 min of data or 256 cardiac cycles. Such short RR intervals series are considered insufficient to obtain a reliable estimate for most of the non-linear HRV indices used to characterise heart disease. On the other hand, DFA or ApEn have been used with short-term recordings of HRV data, and the results suggested that they can be applied to short data sets [5,6,12,18]. Whether or not non-linear HRV indices calculated from short RR intervals series could provide useful information in heart disease remained to determine.

The aim of this study was to test the hypothesis that non-linear HRV indices obtained from short RR intervals series can give clinically valuable information in heart disease.

## Materials and Methods

### Population

Three groups of male subjects participated in the study. Eighteen were healthy: age (mean  $\pm$  SD)  $51.3 \pm 1.2$  years, height  $175.2 \pm 2.7$  cm and weight  $76.1 \pm 0.9$  kg. Their medical history and a medical examination were used to discard subjects with cardiovascular, pulmonary or metabolic diseases. The subjects were normotensive and none was taking any medication. Thirty-two were patients suffering from chronic heart failure (CHF;  $53.8 \pm 2.4$  years,  $172.5 \pm 5.9$  cm and  $77.3 \pm 8.7$  kg) and 42 were patients suffering from coronary heart disease (CAD;  $53.9 \pm 3.7$  years,  $172.7 \pm 4.3$  cm and  $79.5 \pm 13.1$  kg). CAD patients had preserved left systolic function (ejection fraction  $>45\%$ ; resting echography- Sequoia C256 Siemens Echocardiography catheter 3V2c-S) and were evaluated after an acute coronary syndrome with or without ST segment elevation. Among them, 28 received  $\beta$ -blockers, 24 vasodilators, 39 anti-platelets agents, 42 statins and 7 omega 3 fatty acids. CHF patients had left ventricular systolic dysfunction defined as ejection fraction lower than or equal to 45%. Among them, 29 received  $\beta$ -blockers, 24 vasodilators, 16 diuretic, 4 anti- Aldosterone, 19 anti-platelets agents, 24 statins, 3 omega 3 fatty acids, 5 digital. Heart failure resulted from ischemic cardiomyopathy (n=21) or idiopathic dilated cardiomyopathy (n=11). A coronary angiography was performed in all patients. Patients were included if their clinical condition had been stable for at least three weeks, and if they had stable ECG sinus rhythm.

The study protocol complied with the 1964 Helsinki declaration for human experimentation and was approved by our institutional ethics committee. The subjects were informed of the organization and details of the study and signed an informed consent form.

### Organization of the Study

The subjects were instructed to fast for at least 3 h before testing, and were asked to refrain from ingesting beverages containing caffeine and alcohol and not to exercise or smoke during the 24 h preceding each test. The tests were performed between 9h00 and 12h00.

After an adaptation period of 20 min supine resting, data were recorded in the supine and in upright active posture for 10 min in each position. During each period, ECG data and automatic measurements of systolic (SAP) and diastolic (DAP) arterial blood pressure were taken (BP-8800, Colin Electronics CO., LTD, Japan). Arterial pulse pressure (PP, mmHg) was calculated from SAP minus DAP, and mean blood pressure (MAP, mmHg) was calculated as DAP plus one third of PP.

### HRV Analyses

To study heart rate variability (HRV), the duration of RR-intervals was recorded according to procedures already described [16]. Analyses were performed on a time series of 256 cycles selected between minutes 5 to 10.

HRV analysis was conducted with the aid of Kubios HRV Analysis Software 2.0 for Windows (The Biomedical Signal and Medical Imaging Analysis Group, Department of Applied Physics, University of Kuopio, Finland). For the time domain, the root mean square of successive RR interval differences (rMSSD) and the fraction of consecutive RR intervals that differ by more than 50 ms (pNN50) were reported. For the frequency domain, the normalized low frequency power – LF (n.u.): 0.04–0.15 Hz –, normalized high frequency power – HF (n.u.): 0.15–0.4 Hz –, and the LF/HF ratio were reported.

For non-linear indices, approximate (ApEn) and sample (SampEn) entropy and the short-term fluctuations in the R-R interval data calculated by detrended fluctuation analysis (DFA) were reported. ApEn is a measure that quantifies the amount of overall regularity or predictability in time-series data. Lower ApEn values indicate a more regular (less complex) signal; higher values indicate more irregularity (greater complexity). In this study, complexity was also calculated using sample entropy (SampEn), which has been previously described in detail [7,19].

The fractal nature of the HR time-series allows for measurement of self-similarity correlations using detrended fluctuation analysis (DFA) [19,20] Based on previous research [5] we utilized the short-term ( $\alpha$ 1; 4 to 16 beats) scaling exponent to analyze our HR time-series data.

## Statistical analysis

All data are presented as mean  $\pm$  SD. Statistical analyses were performed using SigmaStat® software (SPSS Inc, Chicago, USA). Standard statistical methods were used for the calculation of mean  $\pm$  SD. Differences between posture (supine vs. standing) and differences between groups (healthy vs. CHF vs. CAD) were tested by a two-way analysis of variance. When interaction or main effects were noted, multiple comparison procedures (Holm-Sidak method) were performed. Differences between groups (healthy vs. CHF vs. CAD) concerning the relative changes during active standing were tested by a one-way analysis of variance on ranks followed by Dunn's test. For bivariate correlation analysis, Pearson's correlation coefficient was calculated. A p-value  $<0.05$  was considered significant.

## Results

### Hemodynamic data

In supine position, HR was lower in CAD than in CHF or healthy subjects ( $p < 0.05$ ). No significant differences between groups were observed regarding SAP, DAP and MAP, but the healthy subjects had significantly higher PP. Standing increases HR in the three groups ( $p < 0.05$ ). The increase was significantly higher in healthy subjects than in the two other groups, and in CAD compared to CHF. Non significant trends for an increase in SAP, DAP and MAP were observed in healthy subjects whereas non significant trends for a decrease were present in CHF and CAD. SAP and DAP were significantly lower in CHF and CAD than in healthy subjects while standing (Table 1).

Absolute changes	Healthy subjects		Chronic heart failure				Coronary artery disease				
	Supine	Standing	Supine		Standing		Supine		Standing		
HR (bpm)	63.2 $\pm$ 8.8	79.9 $\pm$ 12.0	***	61.2 $\pm$ 8.3	66.1 $\pm$ 9.5	**	†††	57.2 $\pm$ 5.5	††	64.4 $\pm$ 5.9	***, †††
SAP (mmHg)	118.5 $\pm$ 14.7	122.2 $\pm$ 13.2		112.0 $\pm$ 11.4	106.7 $\pm$ 12.0	†††		113.5 $\pm$ 9.9		111.7 $\pm$ 13.0	†††
DAP (mmHg)	69.0 $\pm$ 10.4	75.8 $\pm$ 10.1		64.7 $\pm$ 8.0	63.5 $\pm$ 8.7	†††		67.3 $\pm$ 7.7		65.0 $\pm$ 7.4	†††
MAP (mmHg)	76.4 $\pm$ 16.0	82.5 $\pm$ 12.8		80.4 $\pm$ 8.4	77.9 $\pm$ 9.2			82.7 $\pm$ 7.9		80.6 $\pm$ 8.3	
PP (mmHg)	55.3 $\pm$ 9.9	52.0 $\pm$ 10.9		47.3 $\pm$ 8.3	†††	43.2 $\pm$ 8.1	††	46.2 $\pm$ 6.7	†††	46.7 $\pm$ 10.4	
Relative changes											
HR (%)	27.4 $\pm$ 18.9			5.3 $\pm$ 6.9		†		12.7 $\pm$ 5.1			
SAP (%)	4.7 $\pm$ 14.0			-4.5 $\pm$ 7.8		†		-1.4 $\pm$ 9.8			
DAP (%)	12.0 $\pm$ 21.4			-2.2 $\pm$ 7.9		†		-2.6 $\pm$ 11.6			
MAP (%)	13.6 $\pm$ 32.4			-3.3 $\pm$ 6.7		†		-2.2 $\pm$ 8.9			
PP (%)	-4.8 $\pm$ 16.3			-6.7 $\pm$ 16.6				2.8 $\pm$ 26.7			

Values are mean  $\pm$  SD. HR = heart rate; SAP, DAP, MAP = systolic, diastolic, mean arterial pressure respectively; PP = pulse pressure.

\*, \*\*, \*\*\* = significantly different from supine at the 0.05, 0.01 and 0.001 level

†, ††, ††† = significantly different from healthy subjects at the 0.05, 0.01 and 0.001 level

‡, ‡‡, ‡‡‡ = significantly different from chronic heart failure at the 0.05, 0.01 and 0.001 level

**Table 1:** Absolute (up) and relative (down) changes in hemodynamic data during head-up tilt

### Time domain analysis and Frequency analysis

rMSSD and pNN50 were significantly lower in CHF and CAD than in healthy subjects. Standing decreased rMSSD and pNN50 ( $p < 0.001$ ) in healthy subjects and left it unchanged in CHF and CAD (Figure 1, Table 2).

No significant differences were observed between groups in supine posture, except higher HF (n.u.) values in healthy subjects.

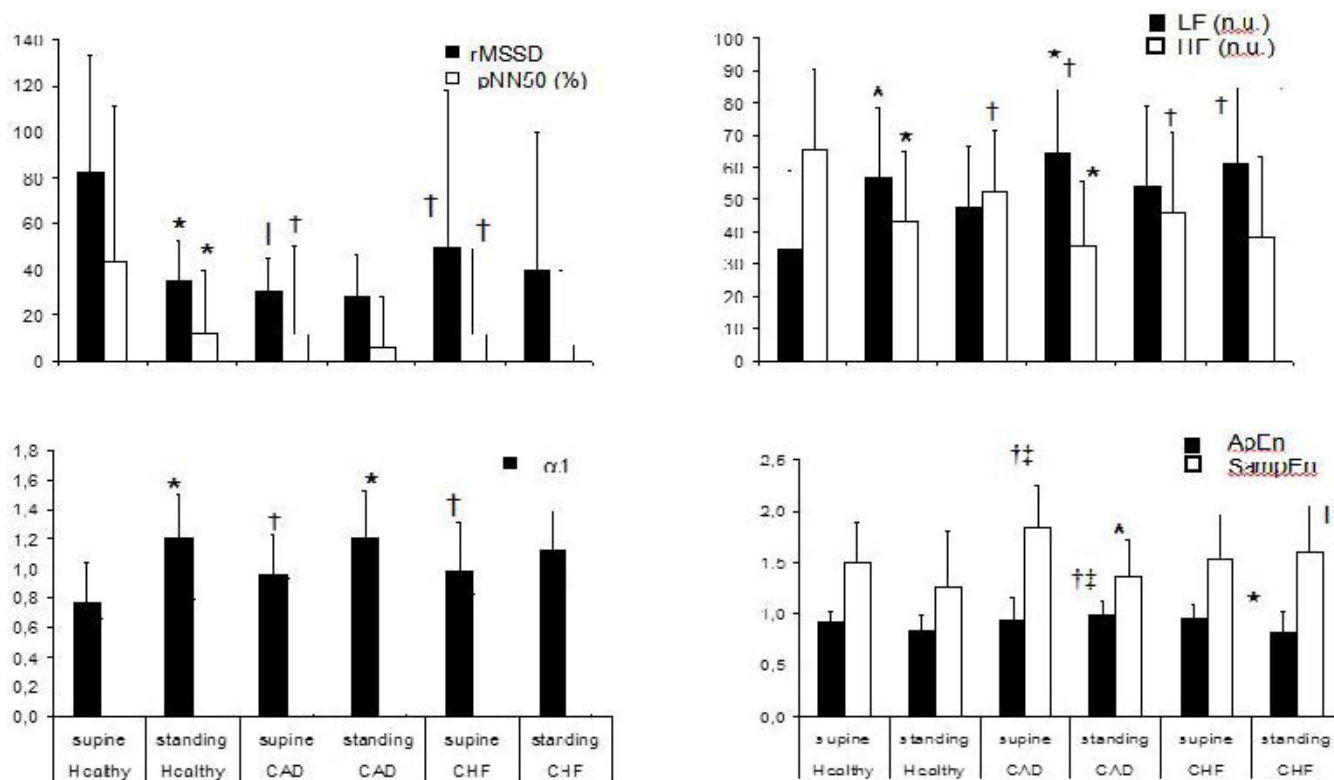
Standing significantly increases LF (n.u.) and LF/HF ratio (from  $0.9 \pm 1.1$  to  $2.9 \pm 4.3$ ) in healthy subjects and CAD (from  $1.2 \pm 1.1$  to  $3.0 \pm 2.7$ ), but not in CHF (from  $1.9 \pm 1.6$  to  $2.9 \pm 2.7$ ). LF (n.u.) was significantly higher in CHF and CAD in the standing position. The relative LF (n.u.) and LF/HF changes were greater in healthy subjects than in CHF and CAD. HF (n.u.) significantly decreased in healthy subjects and CAD while it remained unchanged in CHF.

### Non-linear analysis

$\alpha 1$  was significantly lower in healthy subjects than in CHF and CAD in supine posture and increased significantly with standing in healthy subjects and CAD.

As regards ApEn, no significant differences between groups were observed in supine posture. A significant decrease was observed in CHF with standing while it remains unchanged in CAD and healthy subjects. ApEn was significantly higher in CAD than in healthy subjects and CHF in upright posture.

SampEn was significantly higher in CAD than in healthy subjects and CHF in supine posture. It significantly decreased on standing in CAD. During standing, SampEn was higher in CHF than in the two other groups (Figure 1, Table 2).



rMSSD = root mean square of successive RR interval differences; pNN50 = fraction of consecutive RR intervals that differ by more than 50 ms; LF = low frequency; HF = high frequency; n.u. = normalized units;  $\alpha 1$  = short-term fluctuations in the R-R interval; ApEn = approximate entropy; SampEn = sample entropy. CAD = coronary artery disease. CHF = chronic heart failure.  
 \* = significantly different from supine  
 † = significantly different from healthy  
 ‡ = significantly different from chronic heart failure

**Figure 1:** Heart rate variability data in supine and active standing position

Absolute changes	Healthy subjects		Chronic heart failure		Coronary artery disease						
	Supine	Standing	Supine	Standing	Supine	Standing					
Mean RR (ms)	976 ± 144	773 ± 129	***	1004 ± 150		931 ± 146	** †††	1059 ± 100	†††	941 ± 83	***†††
rMSSD	82.5 ± 50.8	35.7 ± 16.8	***	50.0 ± 68.0	†††	40.0 ± 59.7		30.8 ± 14.5	†††	28.1 ± 18.5	
pNN50 (%)	43.4 ± 27.9	12.6 ± 11.0	***	11.8 ± 14.6	†††	7.2 ± 12.6		11.7 ± 15.2	†††	5.6 ± 8.7	
LF (n.u.)	34.3 ± 24.7	56.7 ± 21.9	**	54.2 ± 24.7		61.4 ± 24.6	††	47.4 ± 19.1		64.2 ± 19.9	**†
HF (n.u.)	65.7 ± 24.7	43.3 ± 21.9	**	45.8 ± 24.7	†	38.6 ± 24.6		52.6 ± 19.1	††	35.8 ± 19.9	**
LF/HF	0.9 ± 1.1	2.9 ± 4.3	**	1.9 ± 1.6		2.9 ± 2.7		1.2 ± 1.1		3.0 ± 2.7	**
$\alpha 1$	0.76 ± 0.27	1.22 ± 0.28	***	0.98 ± 0.34	†††	1.12 ± 0.37		0.96 ± 0.28	†	1.21 ± 0.32	***
ApEn	0.92 ± 0.10	0.84 ± 0.15		0.95 ± 0.14		0.83 ± 0.18	**	0.94 ± 0.23		0.98 ± 0.13	†††††
SampEn	1.49 ± 0.40	1.26 ± 0.54		1.52 ± 0.45		1.60 ± 0.64	††	1.84 ± 0.41	††††	1.37 ± 0.35	***
Relative changes											
$\Delta$ Mean RR (%)	-20.2 ± 11.0			-4.5 ± 6.4			†	-11.0 ± 4.1			†
$\Delta$ rMSSD (%)	39.2 ± 42.8			-6.7 ± 40.4			†	1.3 ± 74.2			†
$\Delta$ pNN50 (%)	-33.8 ± 93.5			11.2 ± 132.3				3.3 ± 151.7			
$\Delta$ LF (%)	132.7 ± 129.1			35.3 ± 59.5			†	55.1 ± 77.5			†
$\Delta$ HF (%)	-25.5 ± 50.1			-15.7 ± 39.3				-26.0 ± 47.3			
$\Delta$ LF/HF (%)	652 ± 1610			161 ± 303			†	283 ± 505			†
$\Delta\alpha 1$ (%)	78.1 ± 78.9			33.2 ± 49.4			†	36.3 ± 51.3			
$\Delta$ ApEn (%)	-7.5 ± 20.6			-13.5 ± 20.8				12.2 ± 35.2			‡
$\Delta$ SampEn (%)	-6.3 ± 54.1			12.5 ± 49.0				-22.3 ± 24.4			‡

RR = R-R intervals; rMSSD = root mean square of successive RR interval differences; pNN50 = fraction of consecutive RR intervals that differ by more than 50 ms; LF = low frequency; HF = high frequency; n.u. = normalized units;  $\alpha 1$  = short-term fluctuations in the R-R interval; ApEn = approximate entropy; SampEn = sample entropy.  
 \*, \*\*, \*\*\* = significantly different from supine at the 0.05, 0.01 and 0.001 level  
 †, ††, ††† = significantly different from healthy subjects at the 0.05, 0.01 and 0.001 level  
 ‡, ‡‡, ‡‡‡ = significantly different from chronic heart failure at the 0.05, 0.01 and 0.001 level

**Table 2:** Absolute (up) and relative (down) changes in heart rate variability data during head-up tilt

## Correlations among different HRV indexes

The correlation coefficients between the different HRV measures in supine and standing postures, and across all conditions (respectively) are shown in Table 3.

		Mean RR	RMSSD	pNN50 (%)	LF (n.u.)	HF (n.u.)	LF/HF	$\alpha 1$	ApEn	SampEn
Supine n=98	Mean RR	1,00	0,337*	0,364*	-0,09	0,09	-0,09	-0,15	0,14	0,01
	rMSSD		1,00	0,922*	-0,51*	0,51*	-0,51*	-0,62*	0,08	-0,41*
	pNN50 (%)			1,00	-0,40*	0,40*	-0,40*	-0,49*	0,12	-0,30*
	LF (n.u.)				1,00	-1,00*	1,00*	0,85*	0,14	0,04
	HF (n.u.)					1,00	-1,00*	-0,85*	-0,14	-0,04
	LF/HF						1,00	0,85*	0,14	0,04
	$\alpha 1$							1,00	0,07	0,06
	ApEn								1,00	-0,20*
	SampEn									1,00
Standing n=98	Mean RR	1,00	0,26*	0,20*	-0,07	0,07	-0,07	-0,20*	0,27*	-0,08
	rMSSD		1,00	0,88*	-0,43*	0,43*	-0,43*	-0,40*	0,00	-0,31*
	pNN50 (%)			1,00	-0,37*	0,37*	-0,37*	-0,32*	0,05	-0,17
	LF (n.u.)				1,00	-1,00*	1,00*	0,80*	0,12	-0,20
	HF (n.u.)					1,00	-1,00*	-0,80*	-0,12	0,20
	LF/HF						1,00	0,80*	0,12	-0,20
	$\alpha 1$							1,00	0,02	-0,22*
	ApEn								1,00	0,02
	SampEn									1,00
All n=196	Mean RR	1,00	0,37*	0,37*	-0,20*	0,20*	-0,20*	-0,31*	0,23*	0,06
	rMSSD		1,00	0,90*	-0,49*	0,49*	-0,49*	-0,55*	0,08	-0,28*
	pNN50 (%)			1,00	-0,41*	0,41*	-0,41*	-0,45*	0,14*	-0,16*
	LF (n.u.)				1,00	-1,00*	1,00*	0,85*	0,08	-0,16*
	HF (n.u.)					1,00	-1,00*	-0,85*	-0,08	0,16*
	LF/HF						1,00	0,85*	0,08	-0,16*
	$\alpha 1$							1,00	-0,01	-0,17*
	ApEn								1,00	-0,06
	SampEn									1,00

Abbreviations, see Table 2; n = no. of subjects. \*P <0.05.

**Table 3:** Correlations among all heart rate variability measures during supine and standing conditions, and across all conditions

The scaling exponent  $\alpha 1$  was negatively correlated with HF (n.u.) and positively with LF (n.u.) and LF/HF. The relationships were slightly greater in supine than in standing posture.

## Discussion

Autonomic assessment has played an important role in elucidating the role of the autonomic nervous system in diverse pathological conditions including heart diseases. The anatomic location of the cardiovascular autonomic nervous system renders it inaccessible to simple direct physiological testing. Techniques that are available for the study of autonomic nerve activity in humans do not allow any direct exploration of vagal efferent activity. With regard to sympathetic nerve activity, several studies have indicated the interesting capabilities of two of the most widely used techniques [21] noradrenalin spillover to plasma, and electroneurographic recordings of muscle sympathetic nervous activity. However, neither of these methods appears to be suitable for regular clinical use in large numbers of patients or outside the clinical laboratory. Analysis of spontaneous cardiovascular beat-by-beat variability has gained wide credibility as a means of assessing cardiovascular neural regulation in a variety of physiological and pathophysiological conditions, including myocardial infarction and heart failure [5,9,12,22]. A number of studies dealing with HRV have shown that R-R intervals fluctuate in a very complex and apparently erratic manner exhibiting patterns suggestive of non-linear processes [23]. Because of these non-linear components, the R-R interval time series signal cannot be properly assessed using linear techniques such as spectral analysis [23]. In the search for improved methods for decoding hidden information in the R-R interval dynamics, parameters arising from non-linear methods have therefore been identified. These indices provide useful prognostic information [4] but, to our knowledge, have not been used during short-term dynamic conditions designed to evaluate autonomic nervous activity in cardiac disease. We tested the hypothesis that non-linear HRV indices obtained from short RR intervals series can give clinically valuable information in cardiac disease.

During supine rest, our results were in line with previous reports. A greater unpredictability in the R-R interval time series was observed in cardiac patients [24], which may be related to lower vagal and higher sympathetic RR interval modulations [25]. However, contrary to previous results obtained on a longer data set [5,8,12] we observed a stronger correlation of short term HR dynamics in healthy subjects (i.e., lower  $\alpha 1$  of DFA in healthy subjects). Methodological considerations (i.e., length of the data sets) could explain this discrepancy [18] even if it argues that  $\alpha 1$  of DFA could be used with relative short-time series [5,6]. On the other hand, such differences were already reported concerning the effect of age on  $\alpha 1$ , and were related to differences in the conditions of RR interval recording (position, physical or mental activity, number of RR intervals) [26]. Thus, despite the fact that only 256 RR intervals were used to perform non-linear analysis of HRV, the characterization of RR dynamics in the present study is in line with those previously reported and suggest that ambulatory 24-hours ECG recording is not required to obtain useful prognostic information in heart disease.

The change from supine to erect posture decreases the effective circulating blood volume. One half to one liter of blood contained in the thorax is transferred to the legs and the abdomen, triggering several cardiovascular and autonomic nervous system changes that have been well studied. To summarize, the decrease in central venous pressure, stroke volume and pulse pressure reduces the afferent baroreflex traffic through unloading cardiopulmonary and arterial baroreceptors [16]. Cardiac output, blood pressure and cerebral perfusion are maintained through a decreased cardiac parasympathetic activity and an increased cardiac and vascular sympathetic activity, which increase heart rate and heighten vasoconstriction. The results of this study are entirely in line with these views. In healthy subjects, spectral analysis indicated a shift of the sympathovagal balance toward sympathetic predominance through a sympathetic activation and vagal withdrawal [16,17,27]. The pattern of changes was less clear in cardiac disease, with no changes in spectral indexes in CHF. The increase in  $\alpha 1$  of DFA in healthy subjects revealed a stronger correlation of short term HR dynamics during standing [16,17]. These increases were not present in CHF. On the other hand, ApEn decreased significantly in CHF and SampEn decreased significantly in CAD, suggesting a decreased overall complexity with standing that was not present in healthy subjects. Complexity indices based on ApEn and SampEn did not change significantly in healthy subjects [17].

Taken together, these results suggest reduced sympathovagal interaction and complexity during active standing in heart disease. Postural adaptations were blunted in cardiac patients, particularly in chronic heart failure, which is likely due to high level of circulating norepinephrine in these patients [28]. Most changes in spectral and DFA indexes were not observed in cardiac disease, showing reduced interaction and competition among subjects' subsystems. Hence, both resting condition and dynamic stimulation allowed distinguishing cardiac patients from healthy subjects.

It has been reported that HRV indexes are highly correlated [17]. Indeed,  $\alpha 1$  was related to both weighted and conventional spectral ratios such as LF/(HF + LF) and it was claimed that  $\alpha 1$  can no longer be considered to be in a different class of measurement from spectral analysis [29]. However, we showed that taking into account both spectral analysis of HRV and DFA is necessary to describe cardiac autonomic status accurately [16]. The information given by these indexes is not entirely interchangeable. On the other hand, the significant decrease in ApEn and SampEn with active standing in patients was not present in healthy subjects. Together with the fact that ApEn and SampEn had only weak correlations with all HRV measures, the view that an overall complexity measure describes different features in HR dynamics from linear analysis is reinforced. This also suggests that a short-term controlled dynamics challenge could reveal altered heart rate autonomic control in cardiac disease. Another result is that differences observed in the supine resting condition between groups were not always present in the standing posture. This implies that standardization of posture is required during HRV analysis in order to highlight differences in cardiac autonomic function between healthy subjects and those suffering from heart disease. This is strengthened by the fact that fractal and complexity measures of HRV were found to be weakly correlated with the traditional time- and frequency-domain indices when measured from 24-h ambulatory ECG recordings [30], whereas the relationships were stronger during short-term RR recording [17]. This may be due to the uncontrolled condition, which may have significant effects on the characteristics of short-term HR dynamics [17].

The physiological background of the non-linear measures used in the present study is still not well defined. The activity of the heart is under the control of the autonomic nervous system (sympathetic and parasympathetic). The interaction between the two branches is classically characterized as opposite and reciprocal, even if under physiological and pathophysiological circumstances they can be both synchronous and synergistic [16]. Physical or pharmacological interventions such as facial or hand cold water immersion or NE infusion suggest a direct relationship between sympathetic outflow to the heart and  $\alpha 1$  [20,31,32]. Also, ApEn and SampEn decrease during sympathetic activation paralleled by vagal withdrawal [27]. On the other hand, no correlation between cardiac norepinephrine spillover and these indices was observed [15], suggesting that they are not reflective of sympathetic neural outflow to the heart. Vagal blockade alters the fractal-like HR dynamics ( $\alpha 1$ ) and reduces the complexity (ApEn) of HR behaviour. Therefore, if  $\alpha 1$ , ApEn or SampEn could be considered an indicator of the sympatho-vagal interaction to the heart, it seems that they are mainly related to the vagal control of heart rate [15,33]. This is in line with the results of study of Baumert et al. who reported that the predictive value of HRV for cardiac risk stratification might lie predominantly in its ability to quantify vagal outflow to the sinus node [15].

## Limits

In the present study, respiration was not recorded during the active standing test. This is of concern, especially because both the fractal and complexity measures of HR variability can be significantly influenced by changes in the breathing pattern [33]. The subjects spontaneously adapted their tidal volume and breathing frequency but they were surveyed by one investigator to ensure that the ventilation pattern remained quite steady during all phases of the protocol. As reported earlier [26], we used a posteriori visual inspection of the power spectra to verify the location of the respiratory component in the HF region.

The absence of concomitant short- and long-term R-R recoding in the same subjects, the potential impact of cardiovascular drug [34] as well as the limited number of subjects limits also the interpretation of our results and further researches on the usefulness of short-term dynamic conditions in the diagnosis of cardiac disease are required.

Finally, it should highlight that in this cross-sectional study the prediction of cardiac disease from HRV indices was not addressed and that only an association between changes in HRV indices and cardiac disease was observed. These preliminary results should be confirmed by appropriate longitudinal studies.

## Conclusion

The main result of the present study was that non-linear HRV indices obtained from short RR intervals series (256 points) can give clinically valuable information in cardiac disease to highlight the deficiency of the neurocardiac regulation. Moreover, these indices also revealed that the autonomic nervous system adjustments to postural changes were blunted in cardiac patients, with reduced sympatho-vagal interaction during active standing. Most changes in spectral and DFA indexes were not present in patients. Also, active standing decreased the overall complexity of HRV in cardiac patients.

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